

NOV 1 5 2002

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

06005/37172,) ICE

Applicant(s):

Robert B. Havekost

Serial No.: 09/838,494

Title: Enhanced Device Alarms in a

Process Control System

Filed: April 19, 2001

Group Art Unit: 2632

Examiner: To Be Determined

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, postage prepaid, on November 11, 2002 in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231

Roger A. Heppermann

Registration No.: 37,641

INFORMATION DISCLOSURE STATEMENT HE

RECEIVED

NOV 2 0 2002

Commissioner for Patents Washington, D.C. 20231

Technology Center 2600

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, the information identified on the enclosed Form PTO 1449 is disclosed to the Examiner for consideration in connection with the above identified patent application. Copies of the documents listed on the Form PTO 1449 are enclosed herewith.

This Information Disclosure Statement is being submitted before receipt of a first Office Action on the merits and, consequently, should be considered by the Patent Office without payment of a fee. See 37 C.F.R. §1.97(b)(3). Furthermore, each item of information contained in this Information Disclosure Statement was cited or originated in a communication from a foreign (GB) patent office in a counterpart foreign (GB) application not more than three months prior to the filing (mailing) of this Information Disclosure Statement. For this further reason, no fee is due for consideration of this information. See, 37 C.F.R. §1.97(c)(1). However, if any additional fee is required for the consideration of this

information, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 13 2855. A copy of this paper is enclosed herewith.

Respectfully submitted,

By:

Roger A. Heppermann

Reg. No. 37,641

MARSHALL, GERSTEIN & BORUN

6300 Sears Tower

233 South Wacker Drive Chicago, Illinois 60606-6357

Tel.: (312) 474-6300

Fax: (312) 474-0448

November 11, 2002